

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5649-1191

DATE: February 17, 2004

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

17548 U.S. PTO  
10/780244  
021804

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: \_\_\_\_\_; filed \_\_\_\_\_, the disclosure of which is incorporated herein by reference in its entirety.  
☐ and claims the benefit of Provisional Application No. \_\_\_\_\_; filed \_\_\_\_\_.

Inventor(s) or Application Identifier:

**Seong-Jun Heo, Republic of Korea  
Sun-Pil Youn, Republic of Korea  
Sung-Man Kim, Republic of Korea  
Si-Young Choi, Republic of Korea  
Gil-Heyun Choi, Republic of Korea**

**Ja-Hum Ku, Republic of Korea  
Chang-Won Lee, Republic of Korea  
Jong-Myeong Lee, Republic of Korea  
Kwon-Sun Ryu, Republic of Korea**

Entitled: **METHODS OF FORMING A SEMICONDUCTOR DEVICE HAVING A METAL GATE ELECTRODE AND ASSOCIATED DEVICES**

Myers Bigel Sibley & Sajovec, P.A.  
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Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 15 pages of Specification (including 27 claims)
3. ☒ 2 sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below])
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.


7. ☐ Microfiche Computer Program (*Appendix*)
8. ☒ Assignment papers (*cover sheet(s) and document(s)*)
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.
10. ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited
11. ☐ Preliminary Amendment (***Please enter all claim amendments prior to calculating the filing fee.***)
12. ☐ English Translation Document
13. ☒ Certified Copy of Korean Application No. 10-2003-0010403; Filed February 19, 2003
14. ☐ Sequence Listing/ Sequence Listing Diskette
  - a. ☐ computer readable copy
  - b. ☐ paper copy
  - c. ☐ statement in support
15. ☐ An Associate Power of Attorney
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
17. ☐ Deletion of Inventor(s) (Signed statement attached deleting inventor(s) name in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).
18. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form.
19. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$385.00	\$ 770.00
TOTAL CLAIMS	27 - 20 =	7	x 09 = \$	x 18 = \$126.00
INDEP CLAIMS	3 - 3 =	0	x 43 = \$	x 86 = \$ .00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 145 = \$	+ 290 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$ 896.00

- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ A check in the amount of \$936.00 is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☐ Please charge my Deposit Account No. 50-0220 in the amount of \$ \_\_\_\_\_
- ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:
  - a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
  - b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Julie H. Richardson  
Registration No. 40,142

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 353611458 US  
Date of Deposit: February 17, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Rosa Lee Brinson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Seong-Jun Heo et al.

Serial No.: TBA

Filed: Concurrently herewith

For: **Methods Of Forming A Semiconductor Device Having A Metal Gate Electrode And Associated Devices**

Date: February 17, 2004

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors, **Seong-Jun Heo**, resident of Republic of Korea; **Sun-Pil Youn**, resident of Republic of Korea; **Sung-Man Kim**, resident of Republic of Korea; **Si-Young Choi**, resident of Republic of Korea; **Gil-Heyun Choi**, resident of Republic of Korea; **Ja-Hum Ku**, resident of Republic of Korea; **Chang-Won Lee**, resident of Republic of Korea; **Jong-Myeong Lee**, resident of Republic of Korea; and **Kwon-Sun Ryu**, resident of Republic of Korea under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,



Julie H. Richardson  
Registration No. 40, 142

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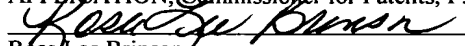
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Rosa Lee Brinson